

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VICTOR S. CHAN, et al.

Serial No:

Filed:

Title: **MULTIPLE IDENTITY
MANAGEMENT IN AN ELECTRONIC
COMMERCE SITE**

Group Art Unit:

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

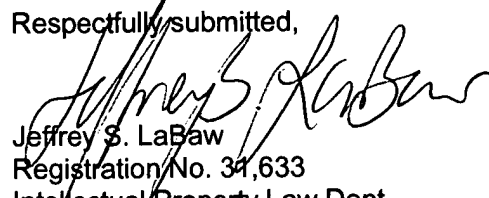
While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0451 for the necessary amount.

Respectfully submitted,



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In Place of FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO. CA920030029US1	SERIAL NO.
	Applicant: VICTOR S. CHAN, et al.	
	Filing Date: June 17, 2003	Group:

Reference Designation		U. S. PATENT DOCUMENTS				
Examiner Initial	Document Number	Publication Date	Inventor(s) Name	Class	Subclass	Filing Date If Appropriate
AA	US20020055888	05/09/2002	Beran, James G., et al			12/28/2001
AB	US20020103801	08/01/2002	Lyons, Martha L.			01/31/2001

FOREIGN PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No	
AC	WO200205476	01/17/2002	WO				
AD	WO200049546	08/24/2000	WO				
AE	JP2001249984	09/14/2001	JP				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
Examiner Initial	
AF	Kemper Littman, M.; "Emerging Web-based Applications: An Examination of Electronic Commerce", ISTE, Oct-Dec 1999, Vol. 11, No. 1
AG	Rejman-Green, M.; "Biometrics - Real Identities for a Virtual World", 2002, pp.229-42
AH	Schier, K. et al; "The Global Information Society and Electronic Commerce: Privacy Threats and Privacy Technologies", August 25-28, 1998
AI	Lawton, G.; "Is Technology Meeting the Privacy Challenge?", Technology News, September 2001
AJ	Shaw, T.; "Exploring the Role of Identification in the Privacy Decisions of Webmasters"
AK	Arlitt, M.; "Characterizing the Scalability of a Large Web-Based Shopping System", August, 2001, ACM Transactions On Internet Technology, Vol. 1, No. 1
AL	Shulman, A., et al; "The US/Mexico Border Crossing Card (BCC): A Case Study in Biometric, Machine-Readable ID", March 30, 2002

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.